

Inadequate Shareholders Funds

TRADING LICENSE HOLDERS WITH INADEQUATE SHAREHOLDERS FUND AS AT 12 APRIL 2021

1	Deep Trust Investment Limited	Active
2	Forthright Securities and Investments Limited	Active
3	Icon Stockbrokers Limited	Active
4	Rostrum Investment and Sec Limited	Active
5	Adamawa Securities Limited	Inactive
6	CEB Securities Limited	Inactive
7	Enterprise Stockbrokers Limited	Inactive
8	Financial & Analytics Capital Limited	Inactive
9	First Stockbrokers Limited	Inactive
10	GMT Securities & Asset Management Limited	Inactive
11	Gombe Securities Limited	Inactive
12	Horizon Stockbrokers Limited	Inactive
13	Investment Shark & Asset Management Limited	Inactive
14	ITIS Securities Limited	Inactive
15	Kundila Finance Services Limited	Inactive
16	MBL Financial Services Limited	Inactive
17	Milestone Capital Management Limited	Inactive
18	ML Securities Limited	Inactive
19	Standard Alliance Capital & Asset Management Limited	Inactive
20	Supra Commercial Trust Company Limited	Inactive
21	TFS Securities & Investment Company Limited	Inactive

*An inactive Firm is a firm that has been suspended for a period of more than 3 months by The Exchange or SEC; or has not recorded any activity for a period of 3 or more months without being suspended.